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#### Application Number 10/728,563 Filing Date TRANSMITTAL December 5, 2003 First Named Inventor **FORM** Tae-Ahn JAHNG Art Unit 3732 (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned **Attorney Docket Number** Total Number of Pages in This Submission 559552000200 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request **Terminal Disclaimer** identify below): Form PTO-1449, 1 pg + duplicate **Express Abandonment Request** Request for Refund 4 References Return Postcard Information Disclosure Statement, 3 CD, Number of CD(s) pages Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application **CUSTOMER NO. 25225** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm **MORRISON & FOERSTER LLP** Richard C. Kim - 40,046 Individual name Rich Kin Signature Date

I hereby certify that this correspondence is an envelope addressed to: Commissioner		
	Signature: Alex	(Rhea Amid)



### CERTIFICATE OF MAILING BY "FIRST CLASS"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on June 29, 2004,

Rhea Amid

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tae-Ahn JAHNG

Serial No.:

10/728,563

Filing Date:

December 5, 2003

For:

MARKING AND GUIDANCE METHOD AND SYSTEM FOR FLEXIBLE FIXATION OF A SPINE Examiner: Not Yet Assigned

Group Art Unit: 3732

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. §1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

	I ms m	formation Disclosure Statement is submitted.
	With	the application; accordingly, no fee or separate requirements are required.
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued
	Exam	ination under § 1.114.
$\boxtimes$	Withi	n three months of the application filing date or before mailing of a first Office
	Actio	n on the merits; accordingly, no fee or separate requirements are required.
	After	receipt of a first Office Action on the merits but before mailing of a final Office
	Actio	n or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue	fee.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmitta
		form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 559552000200. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 29, 2004

Respectfully submitted,

Reg. No. 40,046

Morrison & Foerster LLP

3811 Valley Centre Drive, Suite 500 San Diego, California 92130-2332

Telephone: (858) 720-5142 Facsimile: (858) 720-5125 Form PTO-1449 SINFORMATION BLANDING SURE CITATION

(Use several sheets if necessary)

	Silect I OI I
Docket Number 559552000200	Application Number 10/728,563
Applicant	
Tae-A	Ahn JAHNG.
Filing Date December 5, 2003	Group Art Unit 3732
Mailing Date June 29 2004	

# U.S. PATENT DOCUMENTS

C.S. TITIBLY BOOCHERY							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.		2001/0037111	Dixon et al.	606	61	11/2001
	2.		2003/0083657	Drewry et al.	606	61	05/2003
•	3.	05/1988	4,748,260	Marlett	556	176	
	4.	01/1994	5,282,863	Burton	606	61	
	5.	07/1996	5,540,688	Navas	606	61	
	6.	09/1997	5,672,175	Martin	606	61	
	7.	02/2001	6,193,720	Yuen et al.	606	61	
							,

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Trans YES	lation NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner	Ref.	Title	
Initials	No.	λ	
	8.	Kanayama et al., J Neurosurgery 95(1 Suppl):5-10 (2001).	
	9.	Markwalder and Wenger, Acta Neurochrgica 145(3):209-14.	
	10.	Stoll et al., European Spine J 11 (Suppl) 2:S170-8 (2002).	
	11.	Schmoelz et al., J Spinal Disorder & Techniques 16(4):418-23 (2003).	
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**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/ 08 (2-92) sd- 180900 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE